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October 7, 2005

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Fax No. 1.571.273.8300

TOTAL NUMBER OF PAGES, INCLUDING COVER PAGE, 24

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File No. 56269.US Client No. 0910.2 Date: October 7, 2005
Application No. 09/777,987
Filing Date: February 6, 2001
Applicant(s): Jason M. Borlinghaus
Title: Method of Using a Computerised Trading System to Process Trades in Financial Instruments
Enclosures:
1. Amendment Transmittal Letter (1 p.)
2. Amendment A (22 pgs.)
(Includes Certificate of Facsimile Transmission)

COMMISSIONER FOR PATENTS

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cc: Docketing

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LNC form

AMENDMENT TRANSMITTAL LETTER				Docket No. 56269.US		
Application No. 09/777,987	Filing Date 02/06/2001	Examiner Jason M Borlinghaus		Group Art Unit 3628		
Invention Title METHOD OF USING A COMPUTERISED TRADING SYSTEM TO PROCESS TRADES IN FINANCIAL INSTRUMENTS						
TO THE ASSISTANT COMMISSIONER FOR PATENTS						
Transmitted herewith is an amendment in the above-identified application.						
<input type="checkbox"/> Small Entity status of this application has been established under 37 CFR 1.27 <input checked="" type="checkbox"/> No additional fee is required. <input type="checkbox"/> The fee has been calculated as shown below:						
CLAIMS AS AMENDED						
	(1)	(2)	(3)			
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT NUMBER EXTRA	RATE	FEE
TOTAL CLAIMS	* 100	minus	** 100	0	x \$50	\$ 0
INDEPENDENT CLAIMS	* 1	minus	*** 3	0	x \$200	0
MULT. DEPENDENT CLAIM ADDED					\$360	0
					TOTAL	\$ 0
If applicant has small entity status under 37 CFR 1.9 and 1.27, then divide total fee by 2, and enter amount here.					SMALL ENTITY TOTAL	\$ 0
<p>* If the entry in column 1 is less than the entry in column 2, write "0" in column 3. ** If the highest number previously paid for in THIS SPACE is less than 20, enter "20". *** If the highest number previously paid for in THIS SPACE is less than 3, enter "3". The "highest number previously paid for" (total or independent) is the highest number found in the appropriate box in column 1.</p> <p><input type="checkbox"/> Please charge Deposit Account No. 12-2355 in the amount of \$ _____. A duplicate copy of this sheet is enclosed.</p> <p><input type="checkbox"/> A check in the amount of \$ _____ to cover the filing fee is enclosed.</p> <p><input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 12-2355. A duplicate copy of this sheet is enclosed.</p> <p><input checked="" type="checkbox"/> Any additional filing fees required under 37 CFR 1.16.</p> <p><input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17.</p>						

Form LNC (9/96)

Andrew S. Neely, Reg. No. 28,979

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 Andrew S. Neely 28,979

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PATENT
Docket No. 56269.US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Michael Spencer et al.
Application No.: 09/777,987
Filed: 6 February 2001
For: METHOD OF USING A COMPUTERISED TRADING SYSTEM TO
PROCESS TRADES IN FINANCIAL INSTRUMENTS
Examiner: Jason M. Borlinghaus
Group Art Unit: 3628

AMENDMENT A

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Office Action mailed July 8, 2005, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 15 of this paper.